

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

<p>CATHERINE AJAERO,</p> <p style="text-align: right;">Plaintiff,</p> <p style="text-align: center;">-Against-</p> <p>TAMARA VASQUEZ, SHYWAM WADHWA, and S&amp;P GLOBAL INC.,</p> <p style="text-align: right;">Defendants.</p>	<p>Case No. 21-cv-07894-VSB</p> <p><b><u>STIPULATION FOR THE PRODUCTION AND EXCHANGE OF CONFIDENTIAL INFORMATION</u></b></p>
---	--

IT IS HEREBY STIPULATED AND AGREED, by and between Plaintiff, Catherine Ajaero (hereafter “Plaintiff”), and Defendants Tamara Vasquez, Shyam Wadhwa and S&P Global, Inc. (collectively, “Defendants”), through their undersigned counsel, as follows:

1. Any party may designate as “Confidential Matter” any document or information which it, in good faith, believes is confidential business or personal information. The entering into this Stipulation of Confidentiality shall not constitute an acknowledgment by the parties that material designated as “Confidential Matter” is in fact confidential and such designation shall have no precedential or evidentiary value including, without limitation, any determination regarding the admissibility of the document so designated. The parties are entering into this Stipulation of Confidentiality in order to facilitate discovery.

2. “Confidential Matter” shall be used solely for the purposes of litigating this lawsuit and not for any other purpose or lawsuit.

3. No designation of “Confidential Matter” shall be made unless counsel for the designating party believes in good faith that it is significant to the interest of his/her client or to the privacy interests of other individuals that the designated matter be kept confidential and that the client would consider this matter, in the absence of litigation, to be confidential.

4. In the event any party receives information or material derived from a source other than from a party to this litigation and the information or material received from that source is the same in substance as information or material previously provided by a party and designated as “Confidential Matter,” any of the parties may petition the Court to have such information or material likewise designated as “Confidential Matter.”

5. No designation of “Confidential Matter” shall be effective unless there is placed or affixed on each document or group of documents (in such manner as will not interfere with the legibility thereof) a “CONFIDENTIAL” notice or the equivalent. Deposition testimony may be designated as “Confidential Matter” either by: (i) identifying the portion of testimony as “Confidential Matter” at the deposition; or (ii) written notice to the other party within 15 days of receipt of the deposition transcript. Any confidential designation which has been or is omitted prior to or subsequent to the entry of this Stipulation of Confidentiality may be corrected by written notification to opposing counsel.

6. Documents designated “Confidential Matter” may not be disclosed by any person to anyone other than to the following: (i) the Court; (ii) counsel for the parties; (iii) employees of counsel for the parties, including associate attorneys, paralegals, litigation assistants, and secretarial, stenographic and clerical personnel assisting such counsel in this action; (iv) current employees of S&P Global, Inc.; (v) Plaintiff; and (vi) third party deponents, provided, however, that disclosure of documents designated “Confidential Matter” to any such individual will be restricted to such “Confidential Matter” as the parties’ counsel reasonably and in good faith believes needs be disclosed to the individual in order for counsel to properly prepare the case for trial.

7. Any information or material designated “Confidential Matter” shall be disclosed only to those persons designated in paragraphs 6 (iv), (v), and (vi): (a) who shall have read this Stipulation of Confidentiality; and (b) who, prior to the receipt of any “Confidential Matter,” shall agree to be bound by the terms hereof. Nothing herein shall prevent disclosure beyond the terms of this Stipulation of Confidentiality if the party claiming confidentiality consents in writing to such disclosure, or if the party seeking such disclosure, upon timely notice to the other parties, receives the approval of the Court.

8. A party may apply to the Court for a ruling that deposition testimony or a document (or category of documents) designated as “Confidential Matter” is not entitled to such status and protection. The party or other person that designated the document as “Confidential Matter” shall be given notice of the application and an opportunity to respond.

9. In the event that Plaintiff or Defendants wish to file any “Confidential Matter” with the Court prior to trial, the party seeking to file such “Confidential Matter” with the Court shall do so pursuant to any Court established directions or procedures, or, in the absence of such established directions or procedures, take steps necessary to ensure that the “Confidential Matter” is filed under seal and is maintained by the Court under seal until further order of the Court. To the extent that any “Confidential Matter” cannot be filed with the Court under seal, the party seeking to file the “Confidential Matter” shall meet and confer with the other party in good faith regarding appropriate redactions to the “Confidential Matter” at least seven (7) days prior to the anticipated filing of the “Confidential Matter.” The parties shall cooperatively agree what, if any, parts of the “Confidential Matter” must be redacted prior to filing. If the parties cannot as to what sections should be redacted as “Confidential Matter”, the designating party shall file a motion

with the Court seeking to protect such information as “Confidential Matter” pursuant to this Agreement within three (3) days of the parties’ inability to agree as to the appropriate redactions.

10. The specification of appropriate safeguards concerning evidence at trial is specifically reserved for action by the Court or later agreement by the parties at or before trial.

11. If, in connection with this litigation and despite a producing party having taken reasonable steps to prevent the disclosure of information that it claims is subject to a claim of attorney-client privilege or attorney work product, a party inadvertently discloses information subject to a claim of attorney-client privilege or attorney work product protection (“Inadvertently Disclosed Information”), such disclosure, in itself, shall not constitute or be deemed a waiver or forfeiture of any claim of privilege or work product protection with respect to the Inadvertently Disclosed Information and its subject matter.

12. If a disclosing party makes a claim of inadvertent disclosure, the receiving party shall, within five (5) business days, return or destroy all copies of the Inadvertently Disclosed Information, and provide a certification of counsel that all such information has been returned or destroyed.

13. This Stipulation of Confidentiality is intended only to govern the procedures for disclosure of confidential documents, material and information. Nothing contained in this Stipulation of Confidentiality is intended to or shall be construed to waive any objections by any party, including objections on the grounds of privilege and/or confidentiality, to any requests for discovery by any other party in this action. Moreover, nothing contained in this Stipulation of Confidentiality shall be construed as an admission by any party regarding the general admissibility of materials designated as “Confidential Matter.”

14. Nothing contained in this Stipulation of Confidentiality shall be construed to prevent any party from making an application to the Court for revision of the terms of this Stipulation of Confidentiality.

15. All “Confidential Matter” and all copies thereof are to be destroyed or returned to the party claiming confidentiality within thirty (30) days after trial, appeal, settlement or other final resolution of this action.

16. Should any party to this Stipulation of Confidentiality become obligated to produce any “Confidential Matter” in response to a third party’s service of a subpoena or other legal process, said party will notify the party claiming confidentiality of such service within five (5) days of its receipt.

17. Nothing contained in this Stipulation of Confidentiality shall restrict or impair a party’s ability to use its own designated “Confidential Matter.”

18. The terms of this Stipulation of Confidentiality shall remain in effect and the undersigned parties shall be bound thereunder unless and until said terms are modified by agreement of all parties to the Stipulation of Confidentiality or unless and until the Stipulation of Confidentiality is terminated by mutual agreement of the parties.

Respectfully submitted,

Michael Anderson  
Shegerian & Associates  
90 Broad Street, Suite 804  
New York, New York 10004

Dated: New York, New York  
May \_\_, 2022

By: Michael C. Anderson  
Michael Anderson

ATTORNEY FOR PLAINTIFF

Diane Windholz  
JACKSON LEWIS P.C.  
666 Third Avenue  
New York, NY 10017  
(212) 545-4000

Dated: New York, New York  
May 10, 2022

By: /s/ Diane Windholz  
Diane Windholz  
Laura Victorelli

ATTORNEYS FOR DEFENDANTS

It is SO ORDERED this  
11th day of May, 2022

Vernon Broderick  
Judge Vernon S. Broderick

4887-8310-7359, v. 1